Consumer Protection Division, FCAA External User's Manual: The Basics of the Registration and Licensing System (RLS)



A User Guide to Assist External Consumer Protection Division RLS Users with the Basic Functionality of RLS.



Financial and Consumer Affairs Authority

fcaa.gov.sk.ca

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Introduction

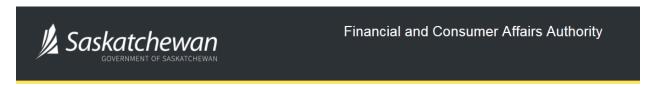
This is a guide to using the Financial and Consumer Affairs Authority (FCAA) online portal called the "Registration and Licensing System" ("RLS").

RLS allows a user to apply for licensing or registration, and to make filings (collectively called "Submissions" for the purposes of this guide), for various sectors regulated by FCAA. This guide explains how to create an account, edit a profile and navigate through RLS. For help and guidance on specific licensing or registration processes, please consult the specific Division and any reference materials that may be provided (please see "Contact Us" in this guide).

The Home Page

Navigating to <u>https://fcaa.saskatchewan.ca</u> will bring you to the home page. Here you can:

- Create an account, if you don't have one, by clicking the 'Register' button.
- Log in to RLS, once you have created an account.
- Trigger the process to reset your password, by clicking "forgot your password?"



FCAA Registration and Licensing System

Welcome to FCAA RLS	
User ID	
Password	
Login	Register
Forgot your password?	0

Create an account

Step 1: Click "Register". You will see this screen:

Saskatchewan		Financial and Consumer Affairs Authority
FCAA Registration and	Licen	sing System
FCAA RLS Registration		
Welcome to	FCAA Regist	ration and Licensing System
		fully submitted your information an email containing your new e sent to the address provided.
All item	s with a red	(*) icon are mandatory.
Username*		
First Name*		
Last Name*		
Email Address*		
Validate Email Address*		
Register		Cancel

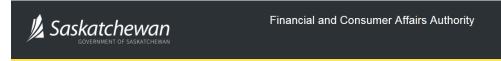
Provide your:

- Username (if you didn't receive one when FCAA launched RLS, then you can choose a Username)
- First Name and Last Name
- Email address and validate your email address

Please ensure the email address you submit is secure and that it is checked regularly, as all notices from RLS will be sent to this email address.

Click "Register"; you will receive an email with your temporary password. You will be taken back to the "Home Screen".

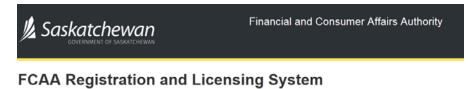
This is the home screen once again:



FCAA Registration and Licensing System

Welcome to FCAA RLS	
User ID	
Password	
Login	Register
Forgot your password?	6

Step 2: Enter your User ID (i.e. your Username that you used to register; or the User ID that you received directly from FCAA when FCAA launched RLS) and enter your temporary password (you would've received this via the email address that you used to create your account). Click "Login". You will see this screen:



Password Expired			
The password on your account ha password.	s expired. Please use this form to change your		
	characters in length and include one uppercase lette ber. All special characters are allowed.		
Current Password			
New Password	Enter your new password		
New Password (Confirm)	Confirm your new password		
	Cancel		

Enter your temporary password in the field beside "current password" and provide a new password. Confirm your new password by entering it again. Click "Change Password".

You will be taken to your RLS dashboard.

Navigating Your RLS Dashboard

Once you have successfully signed in, you will see your RLS Dashboard, illustrated here:



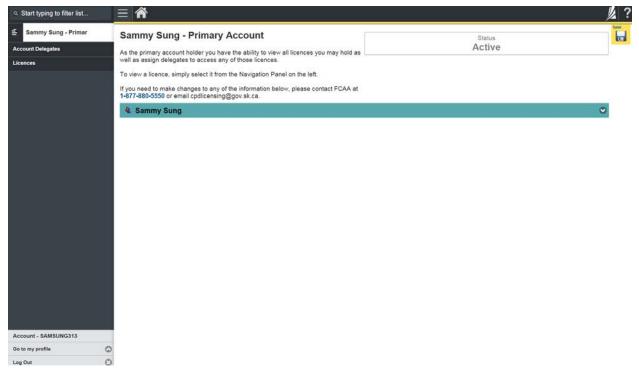
Dashboard Overview

- 1. "Your Licences" and "My Active Licences / Registrations" click to view your licences and registrations
- 2. "Apply for a New Licence" click to start the application process of a new licence or registration
- 3. "Your Correspondence Documents" click to view correspondence documents
- 4. "Go to my profile" click to view your profile

If you required additional instructions for the following buttons and/or actions, please contact FCAA:

- 5. "My Pending Submissions" click to view submissions that you are still working on and that have not been submitted to FCAA <u>OR</u> that have been submitted to FCAA but have been returned to you under a "Request more Information"
- 6. "My Submissions in Review" click to view submissions that you have submitted to FCAA and that FCAA is currently reviewing
- 7. "My Licences / Registrations that Require Action" click to view active licences or registrations that require action
- 8. To log out of RLS, click "Log Out", found at the left bottom corner of the screen.
- 9. To hide (and show) the navigation panel, found on the left side of the screen, click the found at the top left corner of the screen.
- 10. You will find general instructions by clicking the found at the right top corner of the screen.

"Your Licences" Button



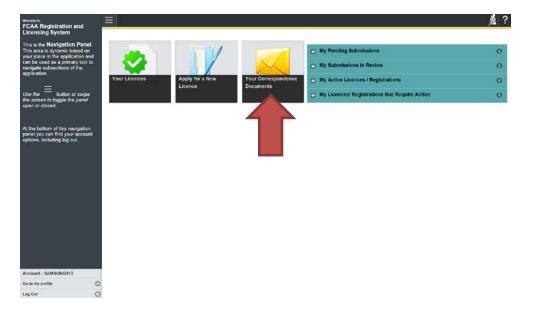
Click on the "Your Licences" button and you will see this screen:

From this screen, you will be able to:

- 1. View and manage your licences and registrations click "Licences"
- 2. View and manage your account delegates click "Account Delegates"
- 3. View and manage your primary owner account and RLS profile click the dropdown arrow beside your name or click on "Go to my profile"
- 4. Log out of RLS click "Log Out"
- 5. Minimize (and Maximize) the Navigation Panel click
- 6. Return to your main RLS Dashboard click

"Your Correspondence Documents" Button

Back on your RLS Dashboard screen, you will see a "Your Correspondence Documents" button.



Click on that button and RLS will generate a listing of correspondence sent to you, including any attachments to that correspondence.

Account Delegates

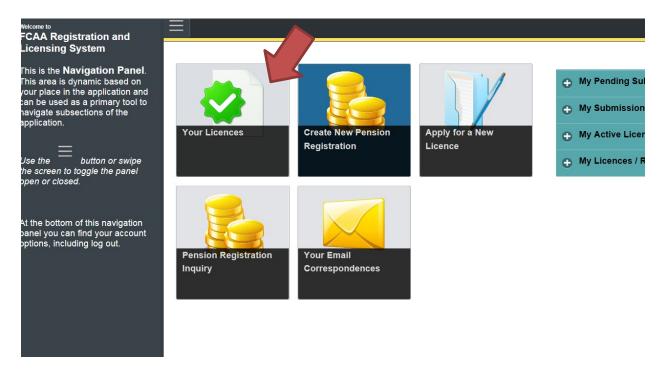
Introduction

Using the account delegate functionality, you will be able to add additional users to your RLS account so that they may assist you with managing the account.

It is important to note that a "Delegate" has almost all the same abilities within RLS as you do as the Primary Owner. It is your responsibility to monitor the undertakings of the "Delegate".

Adding a Delegate

1. Log in to RLS. From your dashboard, click on the "Your Licences" button:



2. On the left-hand side navigation panel. Select "Account Delegates". Click the "Add" button. Select "Delegate Invite".

3. You will see a screen like this one:

ivite -	Status Pending
Delegate Information	
First Name*	
Last Name*	
Email Address*	
Confirm Email Address*	
Message	^
	You can include an optional message to send to the invitee
Select the licences that you wish to grant access	RPP - 3322112 RLS Board Preso DC Plan
	RPP - 3322113 RLS Overview Combination Plan
	RPP - 6655442 March 13, 2018 Correspondence Combo 1
Entor the first name is	oct name and email address for your invites
Include a message the	ast name and email address for your invitee. helps your invitee understanding the on. Select the licences / registrations
Include a message the	helps your invitee understanding the on. Select the licences / registrations
Include a message the purpose of the invitation	helps your invitee understanding the on. Select the licences / registrations ee to have access to.
Include a message the purpose of the invitation that you wish the invit	helps your invitee understanding the on. Select the licences / registrations ee to have access to.
Include a message the purpose of the invitation that you wish the invit	helps your invitee understanding the on. Select the licences / registrations ee to have access to.

Before clicking "Send Invitation", if you no longer wish to proceed, you can click "remove invitation".

Save

4. Once you click "Send Invitation", the status of that invitation will change to "Pending Acceptance". The screen will look like this:

iunt Delegates ite - Tommy Test	Pending Acceptance	
elegate Information		
First Name	Tommy	
Last Name	Test	
Email Address	@gov.sk.ca	
Confirm Email Address		
Message	As per our discussion, you are being granted access to assist with filing annual information returns. You can include an optional message to send to the invitee	
Select the licences that you wish to grant access	RPP - 9988773 March 15, 2018 DC Plan 1, RPP - 9988774 March 27, 2018 Te Plan, RPP - 9999996 April 11, 2018 NP SC Testing Combination Plan - Basic, RPP - 9999997 April 11, 2018 NP SC Testing DB Plan - Basic, RPP - 9999998 April 11, 2018 NP SC Testing DC Plan - Basic	

Prior to the invitee accepting the invitation, you can click "Revoke Invitation" and the invitation will no longer work for the invitee.

5. The invitee will get an email like this:

FCAA Delegate Invitation | RPP-JANETPOWERS invites you!

itrac@fcicanada.com

 R3 Project

 Sent:
 Mon 7/23/2018 2:45 PM

 To:
 Dove, Tami FCAA

You have been invited by RPP-JANETPOWERS

RPP-JANETPOWERS has sent you this invitation to be a delegate for the following Licences: RPP - 9988773 March 15, 2018 DC Plan 1 RPP - 9988774 March 27, 2018 Test Plan RPP - 9999998 April 11, 2018 NP SC Testing DC Plan - Basic RPP - 9999997 April 11, 2018 NP SC Testing DB Plan - Basic RPP - 9999996 April 11, 2018 NP SC Testing Combination Plan - Basic

As per our discussion, you are being granted access to assist with filing annual information returns.

Respond to Invitation -

- 6. The invitee clicks "Respond to invitation" and is taken to the FCAA login page. If the invitee already has a user ID and password, they would use it now. If not, then they would click "register" and set up a user ID and password. Once that is done, they would log in to RLS and proceed with responding to the invitation.
- 7. Once logged in, the invitee will see the following screen:

wite - Tommy Test	Status Pending Acceptance
Delegate Information	
First Name	Tommy
Last Name	Test
Email Address	tami.dove@gov.sk.ca
Confirm Email Address	tami.dove@gov.sk.ca
Message	As per our discussion, you are being granted access to assist with filing annual information returns. You can include an optional message to send to the invitee
Select the licences that you wish to grant access	RPP - 9588773 March 15, 2018 DC Plan 1, RPP - 9988774 March 27, 2018 Test Plan, RPP - 9999996 April 11, 2018 NP SC Testing Combination Plan - Basic, RPP - 999997 April 11, 2018 NP SC Testing DB Plan - Basic, RPP - 9995998 April 11, 2018 NP SC Testing DC Plan - Basic

To accept the invitation, the invitee clicks "accept invitation". To reject it, the invitee clicks "reject invitation".

Once accepted, the invitee will be able to perform most functions that the Primary Owner can perform. The delegate cannot add additional delegates to a licence / registration. A delegate does not received the RLS generated emails and letters; the Primary Owner is responsible for forwarding those communications, as they see appropriate, to the delegate.

8. To manage the delegate's access, the Primary Owner goes back to the "Account Delegates" page and selects the delegate from the listing. The following screen will appear:

ccount Delegates	Remove Delegate and all access
rimary Owner: Janet Powers & THelen Dove	
Licence Access	
Choose the Licences for this Primary Owner that you wish to Grant Access	RPP - 3322112 RLS Board Preso DC Plan
	RPP - 3322113 RLS Overview Combination Plan
	RPP - 6655442 March 13, 2018 Correspondence Combo 1
	RPP - 6655449 March 1, 2018 Correspondence 1

- a. To add or subtract licences / registrations from the delegate's access, simply select new or remove old selections and click "save".
- b. To remove the access, the Primary Owner goes back to the "Account Delegates" page and selects the delegate from the listing, and then clicks on the button that reads "Remove Delegate and All Access".

Primary Account Profile

This section provides you with instructions related to:

- A. Viewing and managing your profile (i.e. name and password)
- B. Viewing and managing your delegated users.

Viewing and managing your primary account profile

You are able to navigate to your RLS primary account profile by either 1) clicking the dropdown arrow beside your name as described under point 3 of the "Your Licences" button section of this guide or 2) clicking the "go to my profile" button found at the bottom left hand corner of the Navigation Panel.

By clicking the drop down arrow beside your name (as described under point 3 of the "Your Licences" button section of this guide), you will see this screen:

Jane Smith - Primary Account		Status	le le
As the primary account holder you have the ability to view all licences you may hold as well as assigned to access any of those licences.		Active	
To view a licence, simply select it from the N	lavigation Panel on the left.		
f you need to make changes to any of the in or email cpdlicensing@gov.sk.ca.	nformation below, please contact FCAA at 1-877-880-5550		
& Jane Smith			۵
0	Edit .	lane Smith	
Person Details			
Prefix	1.		
First Name	Jane		
Middle Name			
Last Name	Smith		
Suffix			
Abbry	•		
Email Information			
Email Type		Email Address	
Authorization		@gmail.com	

If you click on "Edit [Your Name]", or if you have originally clicked on "go to my profile", you will be taken to a screen similar to this one:

			Save
			View Edit
			Back
	Middle Name	Last Name	Account
		Sung	
	Suffix		
0	Choose a Suffix		
	0	Suffix	Suffix

On this screen, you can update your name. Remember to click "Save" to save your changes.

Click the little lock with the heading "Account". It looks like this:

Back to Sammy Sung - Primary Account				Sive
Sammy Sung			Viet	w Edit
				Hack
Edit Person Details				linex (
First Name	Middle Name			
Sammy		3	Change Password	
Prefix	Suffix	3	View Account	
Choose a Prefix	Choose a Suffi			

You can view details related to your account here. If you clicked "View Account", you will see a similar screen to this:

Sammy				9	Change Password
Destru					
Prefix Choose a Prefix		Suffix		3	View Account
		0	Choose a Suffi		
-		Viev	w Account	2	
	SAMSUNG313				
	Change History				
	ADDED BY	ADDED DATE	MODIFIED BY	MODIFIED DATE	
5	APEX_PUBLIC_USER	03-Aug-2017	nobody	03-Aug-2017	

While you can type in the User ID field (in the above picture – the field that has "SAMSUNG313" in it) – any change in that field will not be saved. In order to make changes to that field, please contact FCAA.

Edit Person Details						
First Name		Middle Name	Account	3	Change Password	
Sammy Prefix		Change Passwor	4		View Account	
Cheo	Passwords must be letter, one lowercas Current Password Current password New Password New password Confirm new passw	e at least eight characters in e letter and one number. Al	length and	d include one uppercase haracters are allowed.		
			vord			
	0	Change Pass	vord			

If you clicked "Change Password", you will see a similar screen to this:

Provide the requested information, click "Change Password" and your password will be changed.

Click "save". Return to your main RLS Dashboard by clicking the little "house" symbol found at the left top corner of the screen.

To change the **authorization email** for your RLS account, please contact FCAA.

Form Field Basics

The various pages of a Submission use different ways to record information you provide.

Text Entry

These boxes are used to record text such as names and address details. Simply type the required information in the box:

Name of Bond Issuer*	Please provide details about your bond Enter the Name of bond issuer
Bond Number*	
Bond Amount*	

Note: Any field marked with an * is mandatory, and must be filled in or completed in order to submit the form.

Dropdowns

These are used to make a selection from a pre-determined list. Click the down arrow on the right hand side of the box and then click on your selection from the list:

What type of private sector organization?"	Incorporated company
	Unincorporated business (sole proprietor or partnership) Co-operative Trade or employee association
	Religious, charitable or non-profit organization Other (Private)

Radio Buttons

These are used to indicate agreement or confirmation, or to select one of several options. These come in two different forms:

Selection Boxes:

Vehicle Dealer Licence		
Do your planned business activities include conducting business with the general public or only other motor vehicle dealers?*	General Public	Other Motor Vehicle Dealers

Round Radio Button:

The applicant's jurisdiction of incorporation, formation or residency (as applicable)^{\ast}	O SASKATCHEWAN
	ALBERTA
	BRITISH COLUMBIA
	CANADA
	MANITOBA
	NEW BRUNSWICK

Checkboxes

These are used to select one or more of several options. They look like this:

Check the boxes to indicate which jurisdiction(s):*	SASKATCHEWAN
	ALBERTA
	BRITISH COLUMBIA
	MANITOBA
	NEW BRUNSWICK
	NEWFOUNDLAND

Buttons

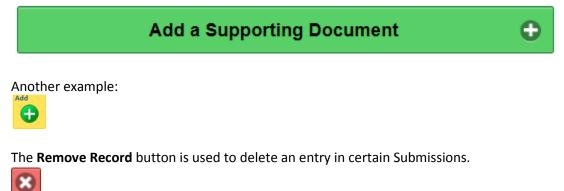
These are used to advance through the process. Click on these in accordance with the functionality displayed on the button:

• The **Previous** and **Next** buttons guide you through the process.



• The **Add** button allows you add new rows to a grid of multiple items of information:

One example:



• The **Information** button provides additional instruction related to the field in the Submission.



•

• The **Submit** button is used to submit entries to a grid.



Buttons (continued)

• The **Upload** button is used to upload selected documents.



• The **Save** button saves the information that you have typed on the screen.



Blue Text

These are used to provide you with information. Fields with blue text cannot be modified.

This is an example of "blue text":

Plan Administrator		
Company Name	ABC Company Ltd.	
Plan Administrator Type	The Employer	

Payment

The final step in several of the submission processes is payment.

Depending on the type of licence or registration event that you are undertaking, you may have the option to:

- 'Pay online' (by credit card or online debit) (Note: there is a cut-off whereby any amount over \$2,500 must be paid by cheque), or
- 'Send payment separately' (if you are paying by cheque; cheques are to be made payable to the "Minister of Finance").

The actual payment page will vary depending upon the type of Submission being submitted.

Please complete the payment page as directed.

If you select to pay online, you will be re-directed to a page on the Moneris website (our online payment provider), where you will be asked to enter the appropriate credit card or online debit details and information. For all non-online payments, please clearly reference the submission information in your reference line.

Please note that in order for your Submission to be submitted electronically when using a credit card or online debit to pay your Submission fee, the transaction must succeed. Further, if you are paying offline (i.e. by cheque), your Submission may be considered incomplete until the appropriate filing fee has been processed by FCAA.

Note: There is no fee for Registering a Film Classification and/or Video Theatre, Distributor or Retailer.

Navigation

Returning to Work on Your Submission

Provided you haven't submitted your Submission to FCAA, you can continue working on it by clicking on "My Pending Submissions" found on your RLS Dashboard.

kicome to CAA Registration and icensing System					<u> //2</u>
his is the Navigation Panel. his area is dynamic based on our place in the application and		NIC		My Pending Submissions	6
an be used as a primary tool to				Description	
avigate subsections of the pplication.				Payday Lender Registration - 116454-00 - New Application	
	Your Licences	Apply for a New Licence	Your Correspondence Documents	My Submissions in Review	0
se the button or swipe e screen to toggle the panel				My Active Licences / Registrations	
pen or closed.		- 453			
				My Licences/ Registrations that Require Action	

Responding to a "Request for More Information" Message

If you have submitted your Submission to FCAA and receive an email from RLS indicating that FCAA requires additional information (called a "request for more information") related to your Submission, you must respond to that request directly within the Submission itself. You will find the Submission by clicking "My Pending Submissions" found on your RLS Dashboard.



Viewing your Submissions that are with FCAA in review

Once your Submission has been successfully submitted, you will see your Submission 'In Review' under the heading "My Submission in Review":

Wilcome to FCAA Registration and Licensing System	Ξ				<u>//</u> /
This is the Navigation Panel . This area is dynamic based on your place in the application and can be used as a primary tool to navigate subsections of the	-			My Pending Submissions My Submissions in Review	0
application. Use the button or swipe the screen to toggle the panel open or closed.	Your Licences	Create New Pension Registration	Apply for a New Licence	Description 012348-00 - REG : - 102752-00 - REG : - 113476-00 - REG : - 113480-00 - REG : - 116128-01 - AIS : - 31-Dec-2016	
At the bottom of this navigation panel you can find your account options, including log out.	Your Correspondence Documents			116298-09 - AIS : - 31-Dec-2016 116374-05 - AIS : - 01-Jan-2017 My Active Licences / Registrations My Licences/ Registrations that Require Action	0
		-			
Account - RPP-TD-TEST Go to my profile					

System Messages

After successful Submission, RLS will send an email to the email address associated with your account, advising you of this fact. If the status of your Submission changes, as a result of the processing of your Submission, you will receive a further email. This may result in a "request for more information", or to advise you that the Submission has been approved or rejected.

You can then sign in to RLS and either provide further information, upload documents, view your approved Submission, or download approved licences or registrations (if applicable).

Contact Us

General Information

Consumer Protection Division Financial and Consumer Affairs Authority Suite 500, 1919 Saskatchewan Drive Regina, SK S4P 4H2

Phone: (306) 787-5550 Phone: 1-877-880-5550 Fax: (306) 787-9779 Email: consumerprotection@gov.sk.ca